

1NE. 2830P1C46
GRD / DKJ

09-27-04

Page 1 of 5

Jaw RECEIPT



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/015,393	12/11/2001	1614	740	GNE.2830P1C46	249	20	3

CONFIRMATION NO. 9822

30313
KNOBBE, MARTENS, OLSON & BEAR, LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

UPDATED FILING RECEIPT



OC00000008438691

Date Mailed: 07/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001
AND CLAIMS BENEFIT OF 60/098,716 09/01/1998
AND CLAIMS BENEFIT OF 60/098,723 09/01/1998
AND CLAIMS BENEFIT OF 60/098,749 09/01/1998
AND CLAIMS BENEFIT OF 60/098,750 09/01/1998
AND CLAIMS BENEFIT OF 60/098,803 09/02/1998
AND CLAIMS BENEFIT OF 60/098,821 09/02/1998
AND CLAIMS BENEFIT OF 60/098,843 09/02/1998
AND CLAIMS BENEFIT OF 60/099,536 09/09/1998
AND CLAIMS BENEFIT OF 60/099,596 09/09/1998
AND CLAIMS BENEFIT OF 60/099,598 09/09/1998
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AND CLAIMS BENEFIT OF 60/101,738 09/24/1998
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THIS APPLICATION 10/015,393
CLAIMS BENEFIT OF 60/101,743 09/24/1998
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AND CLAIMS BENEFIT OF 60/106,030 10/28/1998
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AND CLAIMS BENEFIT OF 60/108,852 11/18/1998
AND CLAIMS BENEFIT OF 60/108,858 11/18/1998
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AND CLAIMS BENEFIT OF 60/108,904 11/18/1998
AND CLAIMS BENEFIT OF 60/108,925 11/17/1998
AND CLAIMS BENEFIT OF 60/113,296 12/22/1998
AND CLAIMS BENEFIT OF 60/114,223 12/30/1998
AND CLAIMS BENEFIT OF 60/129,674 04/16/1999
AND CLAIMS BENEFIT OF 60/141,037 06/23/1999
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

Foreign Applications

PCT/US99/00106 01/05/1999
PCT/US99/20111 09/01/1999
PCT/US99/21194 09/15/1999
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PCT/US01/21066 06/29/2001
PCT/US01/21735 07/09/2001

If Required, Foreign Filing License Granted 04/16/2002

Projected Publication Date: 10/17/2002

Non-Publication Request: No

Early Publication Request: No

Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/015,393

Filing Date

December 11, 2001

First Named Inventor

Kevin P. Baker, et al.

Group/Art Unit

1647

Examiner Name

Fozia M. Hamud

Total Number of Pages in This Submission

14 pages

Attorney Docket Number

39780-2830P1C46

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Version with Markings Showing Changes

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☒ Request for Corrected Filing Receipt

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

Copy of Preliminary Amendment;
Copy of Updated Filing Receipt;
and a Return Receipt Postcard

Remarks

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name

Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP

Signature

Date

September 23, 2004

Customer Number:

35489/09157

CERTIFICATE OF MAILING (37CFR 1.10)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited on the date shown below, with the United States Postal Service as "Express Mail Post Office to Addressee" service addressed to Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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Sandra Puljic

Signature

Date

September 23, 2004

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Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop ____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Kevin P. Baker, et al. Docket No.: 39780-2830P1C46
Serial No.: 10/015,393 Group Art Unit: 1647
Filing Date: December 11, 2001 Examiner: Hamud, Fozia M.
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND
NUCLEIC ACIDS ENCODING THE SAME**

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:


--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101,915 filed 9/24/1998.--

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: EL 993 692 147 US			
Typed or printed name	Sandra Puljic		
Signature	<i>Sandra Puljic</i>	Date	September 23, 2004

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39780-2830P1C46).

Respectfully submitted,

Dated: September 23, 2004


Anna L. Barry
Reg. No. 51,436

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Patent Docket P2830P1C46

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kevin P. Baker et al. Serial No.: 10/015393 Filed: 12/11/2001	Group Art Unit: Not yet assigned Examiner: Not yet assigned <div style="border: 1px solid black; padding: 5px;"><p style="text-align: center;">CERTIFICATE OF MAILING</p><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p><p style="text-align: right;">August 29, 2002</p><p style="text-align: right;"><i>Patricia Terrill</i> Patricia Terrill</p></div>
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PRELIMINARY AMENDMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

In the specification:

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

--RELATED APPLICATIONS

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the

Patent Docket: P2830P1C46

Serial No.: 10/015393

Filed: 12/11/2001

National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101915 filed 9/24/1998.--

Patent Docket: P2830P1C46
Serial No.: 10/015393
Filed: 12/11/2001

REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

Date: August 29, 2002

By:



Elizabeth M. Barnes, Ph. D.

Reg. No. 35,059

Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

Patent Docket: P2830P1C46

Serial No.: 10/015393

Filed: 12/11/2001

VERSION WITH MARKINGS TO SHOW CHANGES MADE

In the specification:

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.

In Re Application of: Kevin P. Baker et al

Serial No: 10/015,393

Filed on: December 11, 2001

Mailed on: August 29, 2002

Docket No: P2830P1C46

By: Elizabeth M. Barnes

Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped.

- ☒ Preliminary Amendment
- ☒ Post card



AB